2020 Census Program Management Review

Decennial Census Programs
U.S. Census Bureau

April 21, 2017





Welcome

Lisa Blumerman

Associate Director, Decennial Census Programs

Deborah Stempowski

Chief, Decennial Census Management Division





Welcome

Housekeeping Items

- Meeting is being broadcast via a publicly available web broadcast
 - Consider all microphones live at all times when having sidebar conversations
- For questions, please remember to speak directly into the microphones for the benefit of other participants, including those watching via any external broadcast
- There are legal requirements we must follow when talking with contractors or potential contractors
 - Information from Michael Palensky, Chief, Acquisition Division
- Location of restrooms next to elevators in green (just outside the auditorium)
- Emergency exits





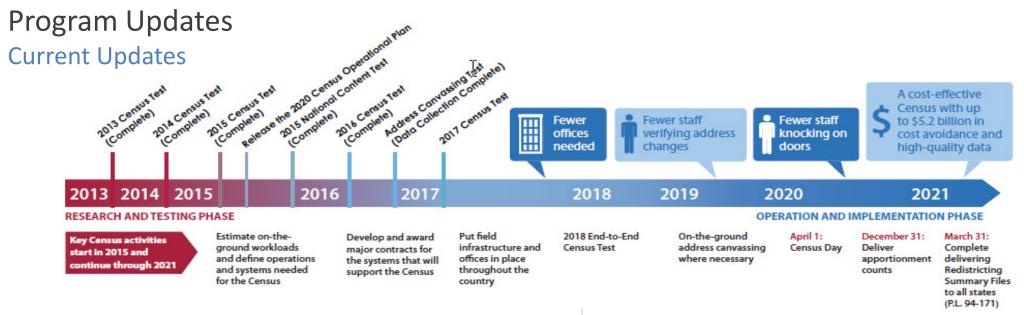
Welcome

Today's Agenda

- Welcome and High-Level Program Updates
- Update on Testing
 - 2017 Census Test
 - Administrative Records Research and Planning
 - 2018 End-to-End Census Test: Focus on Nonresponse Followup
- 2020 Census Operations
 - Local Update of Census Addresses
 - 2020 Census Language Program
 - Partnership with United States Postal Service
- 2020 Census Systems Readiness
 - Update on Systems Readiness
- Wrap-up







Key Activities:

- Making Key Decisions: Continuously make timely decisions based on research and evidence
- Award Key Contracts: Continue to award key contracts for the 2020 Census
- 2017 Census Test: Nationwide self-response test that includes Internet self-response, Real-Time Non-ID response and processing, and the deploying of applications in the Cloud
- Residence Criteria: Proposed criteria in June 2016 and final criteria in 2017
- Topics to Congress: Title 13 requires the Census Bureau deliver to Congress subjects for the decennial census program by March 31, 2017, and questions by March 31, 2018
- Operational Readiness
 - 2020 Census Operational Plan 2.0
 - Local Update of Census Addresses (LUCA)
 - Field Infrastructure: Space, Decennial Logistics Management,
 Training, Recruiting, and Onboarding
 - 2018 End-to-End Census Test





FY 2017 Budget: Current Status

- The Census Bureau is under a Continuing Resolution through April 28 (P.L. 114-254)
- This included a legislative anomaly for the 2020 Census program to spend at the rate "necessary to maintain the schedule and deliver the required data according to statutory deadlines..."
- Focus of spending during CR will be on ensuring systems readiness ahead of 2018
 End-to-End Census Test
- Certain key activities must be paused until we know final funding levels





Key Contract Updates

2016

Census Questionnaire Assistance – Awarded: July 11, 2016, Awardee: General Dynamics Information Technology (about \$430 million)

Integrated Communications Contract – Awarded: August 24, 2016, Awardee: Young & Rubicam (about \$415 million)

Technical Integration – Awarded: August 26, 2016, Awardee: T-Rex Corporation, Inc. (about \$890 million)

Schedule A Human Resources Payroll System – Awarded: November 10, 2016, Awardee: CSRA (C-SHaRPS about \$125 million, LMS about \$5 million)

2017

Decennial Device as a Service

- Will be a single contract for all mobile devices and services for the 2018 End-to-End Census Test through the completion of 2020
 Census field operations
- Award: Early Spring 2017

Fingerprinting/Badging

- Will be a single contract for the 2018 End-to-End Census Test peak operations through the completion of the 2020 Census field operations
- Award: July 2017 September 2017

Field IT Deployment

- Will be a single contract for providing IT equipment, logistics services, maintenance, and support for the Regional Census Centers, Area Census Offices, Remote Workers, Island Area Offices and Paper Data Capture Centers
- Award: September 2017

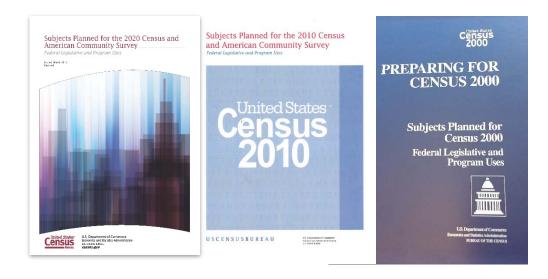




2020 Census Subjects

Title 13 requires that the U.S. Census Bureau deliver to Congress:

- Subjects proposed for the decennial census program by March 31, 2017, delivered March 28, 2017
- Questions proposed for the decennial census program by March 31, 2018



The Census Bureau submitted subjects planned for the Decennial Census Program, including:

- 2020 Census Subjects
 - No new subjects (same set proposed for 2010 Census)
- American Community Survey (ACS) Subjects
 - No new subjects (same set asked on current survey)
- 2020 Island Area Censuses Subjects
 - No new subjects
 - Four subjects not on the ACS, but included on 2010 Island Areas Census





Schedule

| Milestone | Date | |
|---|---------------------------|--------------|
| Begin 2020 Census Program | November 18, 2011 | ✓ |
| Deliver 2020 Census Operational Plan | September 30, 2015 | ✓ |
| Federal Register Notice of Proposed Residence Criteria and Residence Situations for the 2020 Census | June 30, 2016 | ✓ |
| Conduct the Second Round of Tribal Consultations | September – November 2016 | ✓ |
| Begin Local Update of Census Addresses (LUCA) Program (including Appeals | January 2017 | ✓ |
| Process) | Junuary 2017 | |
| Deliver 2020 Census Topics to Congress | March 28, 2017 | \checkmark |
| Conduct the Block Boundary Suggestion Program | December 2015 – May 2017 | |
| Deliver 2020 Census Questions to Congress | By March 31, 2018 | |
| 2020 Census Day | April 1, 2020 | |
| Deliver Apportionment Counts to the President | By December 31, 2020 | |
| Complete Delivery of Redistricting Counts to the States | By March 31, 2021 | |
| Complete 2020 Census Program | September 29, 2023 | |





Risks

The selected risks that follow represent the major concerns that could affect the design or the successful implementation of the 2020 Census.



- Funding Requests Not Realized (Probability 4, Impact 5)
- Administrative Records and Third-Party Data External Factors (Probability 3, Impact 5)
- Public Perception of Ability to Safeguard Response Data (Probability 3, Impact 5)
- Cybersecurity Incidents (Probability 3, Impact 5)
- Enterprise IT Solutions (Probability 3, Impact 4)
- Late Operational Design Changes (Probability 3, Impact 4)
- Technological Innovations Surfacing After Design is Finalized (Probability 2, Impact 4)
- Cloud Implementation (Probability 3, Impact 3)
- Systems Scalability (Probability 3, Impact 3)

New Program Issues: 1. Increased Workload For The Address Canvassing Operation

2. Fully Implementing Innovative Design for 2020 Census





Alexa Jones-Puthoff Decennial Census Management Division





Overview

- April 1, 2017 Census Day
- A nationwide self-response test of 80,000 housing units

Purpose

- Test the integration of operations and systems for Self-Response
- Test the feasibility of collecting tribal enrollment information

National Sample: 80,000 housing units

- Housing units in mailable areas
- Remove housing units from recent decennial tests and the American Community Survey
- Stratified to oversample housing units in:
 - Alaska
 - Areas with relatively higher populations of American Indian and Alaska Natives
 - Areas with lower propensity to respond online

Reinterview: 15,000 housing units

- Conducted by phone
- Heavy oversample:
 - Alaska
 - People who identify as American Indian and Alaska Native
 - People who indicate they are enrolled in a tribe
 - People responding initially without a Census ID





Mail Strategy

Self-Response

- Self-response contact strategy: two-panel design, Internet First (invitation letter on first contact) and Internet Choice (questionnaire on first contact)
- Languages: English and bilingual (English/Spanish)
- 80,000 housing units in sample

| Panel | Number | Percent |
|-----------------|--------|---------|
| Internet First | 48,900 | 61.1 |
| Internet Choice | 31,100 | 38.9 |

| Language | Number | Percent |
|-----------------------------|--------|---------|
| English | 69,824 | 87.3 |
| Bilingual (English/Spanish) | 10,176 | 12.7 |





Mail Strategy (continued)

- First mailing on March 20, 2017
- All households mailed materials first and second mailing
- Mailing #3, #4, #5 sent to nonresponding households
- Mailing #4 included a questionnaire for households in both panels

| Panel | Mailing 1 Letter or Letter + Questionnaire | Mailing 2 Letter | Mailing 3* Postcard | Mailing 4* Letter + Questionnaire | Mailing 5* "It's not too late" Postcard |
|-----------------|--|---------------------|---------------------|--------------------------------------|---|
| Internet First | March 20, 2017 Letter | March 23, 2017 | April 3, 2017 | April 10, 2017 | April 20, 2017 |
| Internet Choice | March 20, 2017 Letter + Questionnaire | March 23, 2017 | April 3, 2017 | April 10, 2017 | April 20, 2017 |

^(*) Targeted only to nonrespondents





Operational Testing in 2017

Internet Self-Response

- First test using ECaSE platform
- Languages available: English and Spanish

Census Questionnaire Assistance

- First test to utilize Census Questionnaire Assistance contracted solution
- Interactive Voice Response system active
- Live agents taking responses by phone
- Languages supported: English, Spanish, Chinese (Mandarin, Cantonese), Vietnamese, Korean,
 Russian, and Arabic

Paper Data Capture

- Testing new paper control processes
- Testing new check-out procedure





Preliminary Self-Response as of April 19, 2017

Overall Self-Response

• 29.5 percent of the 80,000 households in sample have responded as of April 19, 2017

| | Initial Panel | Final Panel | Internet | Internet Participation % | Telephone | Telephone Participation % | Mail | Mail Participation % |
|-----------------|---------------|-------------|----------|-----------------------------|-----------|------------------------------|-------|-------------------------|
| Internet First | 48,900 | 41,699 | 14,817 | 35.5 | 1,192 | 2.9 | 0 | 0.0 |
| Internet Choice | 31,100 | 24,062 | 2,164 | 9.0 | 144 | 0.6 | 5,614 | 23.3 |
| Total | 80,000 | 65,761 | 16,981 | 25.8 | 1,336 | 2.0 | 5,614 | 8.5 |

^{*}Individual components may not add to total due to rounding.

Telephone calls

- Total calls = 4,976
 - Calls referred to agents = 2,882 (57.9 percent)





Key Activities

| Activity | Date |
|---|--------------------------------|
| First Mailing | March 20, 2017 |
| Conduct Internet Self-Response, Including Non-ID Processing | March 20 – May 12, 2017 |
| Conduct Census Questionnaire Assistance (CQA) | March 20 – May 12, 2017 |
| Conduct Paper Data Capture | March 20 – June 2, 2017 |
| Census Day | April 1, 2017 |
| Conduct Reinterview | April 10, 2017 – July 10, 2017 |
| Last Mailing | April 20, 2017 |





Questions?





2018 End-to-End Census Test: Nonresponse Followup

Maryann Chapin

Decennial Census Management Division

Andrew Keller

Decennial Statistical Studies Division





Nonresponse Followup

- Administrative Records Usage
- 2018 End-to-End Census Test Overview
 - Goals
 - Operational Scope
 - Key Activities
 - Test Sites
- Nonresponse Followup Universe Creation
- Early Nonresponse Followup
- Enumerator Training
- Nonresponse Followup Field Data Collection Contact Strategy
- Multiunit Manager Visits
- Field Verification
- Proxy Enumeration
- Quality Control
- Operational Control System Enhancements
- Operational Closeout





Administrative Record Usage

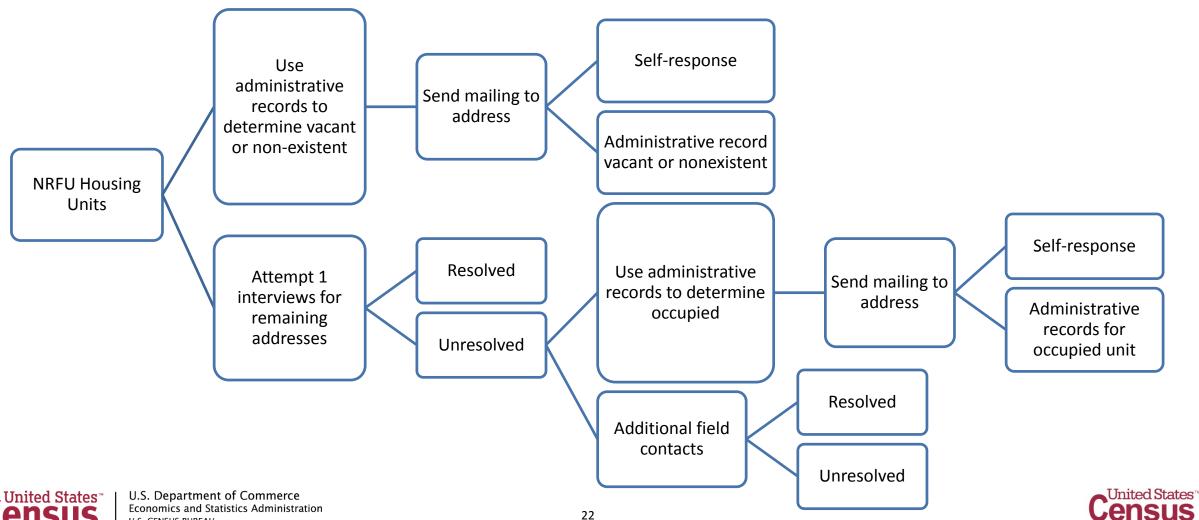
Outline

- 2016 Nonresponse Followup (NRFU) contact strategy with administrative records (AR) determination
- Administrative Record Methodology for Occupied, Vacant and Nonexistent/Delete addresses
- Characteristics for administrative record enumeration
- Research findings
- Proposed 2018 End-to-End Census Test NRFU Contact Strategy





2016 Contact Strategy





Administrative Records Data Sources

Vacancy Determination

- United States Postal Service Information
 - USPS Undeliverable-as-Addressed (UAA) reasons for census mailings made around April 1
 - Vacant
 - No Such Number, No Such Street
 - Others
 - Delivery Sequence File
- Other Sources
 - Internal Revenue Service (IRS) 1040 filings
 - IRS 1099 information returns
 - Centers for Medicare and Medicaid Services Medicare Enrollment database
 - Indian Health Service Patient Database
 - Third-party Veterans Service Group of Illinois (VSGI) files





Administrative Records Research and Planning Identifying Administrative Records Vacant Units

Housing Unit Status Model

- Data: 2010 Census NRFU addresses
- 2010 Census status
 - 1: Occupied
 - 2: Vacant
 - 3: Nonexistent/Delete
- Explanatory variables:
 - UAA flag and reason (e.g., vacant, no such number) on first and second mailing
 - Consistency of UAA reasons by ZIP code
 - Indicators for presence of persons in AR sources at address
 - Indicators for presence of these persons at other addresses
 - ACS area-level estimates: % renters, % poverty, % Black, etc.





Administrative Records Research and Planning Identifying Administrative Records Vacant Units

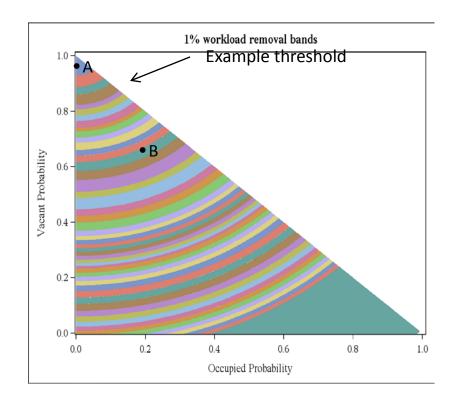
Distance Function

The distance function can be visualized as successive bands of cases emanating from the point (0,1) in the top left corner

Each successive band represents an additional 1 percent of the NRFU workload

In this example, unit A is identified as AR Vacant while unit B is not

Similar approach implemented for Nonexistent or addresses that need to be deleted







Administrative Records Research and Planning Identifying Administrative Records Occupied Units

Can we reduce contacts for 101 Main Street?

- 1. Build a roster from administrative record sources
- 2. Check that multiple sources indicate the family lives at an address
- 3. Use statistical models to evaluate the roster
- 4. Decision for 101 Main Street





Identifying Administrative Records Occupied Units

Data Sources

Core sources for occupied rosters:

- Internal Revenue Service
 - 1040 Individual Tax Returns
 - 1099 Information Returns
- Centers for Medicare and Medicaid Services Medicare Enrollment database
- Indian Health Service Patient database

Additional sources:

- Social Security Administration Number Identification (Numident) File
- Best Race and Hispanic Origin file from Center for Administrative Records Research and Applications (CARRA)
- United States Postal Service (USPS)
 - USPS Undeliverable-as-Addressed (UAA) reasons for census mailings made around April 1
 - Delivery Sequence File (DSF)
- Veterans Service Group of Illinois (VSGI) Name and Address Resource and TrackerPlus files





Administrative Records Research and Planning Identifying Administrative Records Occupied Units

Data Sources Continued

Additional sources being researched:

- Department of Housing and Urban Development
 - Computerized Home Underwriting Management System (CHUMS)
 - Public and Indian Housing Information Center (PIC)
 - Tenant Rental Assistance Certification System (TRACS)
- Selective Service System registration
- USPS National Change of Address (NCOA)
- Supplemental Nutrition Assistance Program (SNAP) and other state program participation data
- CARRA's Kidlink file
- CoreLogic Tax and Deed information

Will continue to research sources, and will finalize in September 2018





Identifying Administrative Records Occupied Units

Evaluation

101 Main Street

| Juan Garcia | 43 year old male | Hispanic |
|---------------------------------|--------------------|----------|
| • Maria Garcia | 40 year old female | Hispanic |
| • Jose Garcia | 17 year old male | Hispanic |
| Lucy Garcia | 14 year old female | Hispanic |

Research Questions:

- How likely is it that we are counting all of the Garcia family in the right place?
- How likely is it that the household composition of the Garcia family matches the Census?





Identifying Administrative Records Occupied Units

Person-Place Model

How likely is it that we are enumerating the Garcia family at the right place?

- Use 2010-vintage data
- 50 million NRFU addresses
- Use 2010-vintage administrative records for those addresses
- Dependent variable: 2010 Census status
 - 1: AR person-place pair is observed in 2010 Census
 - 0: AR person-place pair is not observed in 2010 Census
- Independent variables:
 - Properties of the address: AR count and composition, UAA, etc.
 - Indicators for presence of AR sources at address
 - Indicators for presence of AR sources at other addresses
 - ACS area-level estimates: % renters, % poverty, % Black, etc.
- Process Based on 2010 relationship, we can assign probabilities to current vintage of AR data





Identifying Administrative Records Occupied Units

Person-Place Model

How likely is it that we are enumerating the Garcia family at the right place?

| • | Juan Garcia | 0.80 |
|---|--------------|------|
| • | Maria Garcia | 0.78 |

• Jose Garcia 0.75

• Lucy Garcia 0.70

- To evaluate the entire roster, we use the smallest probability of a person at the address.
- Lucy Garcia 0.70 value used for 101 Main Street





Identifying Administrative Records Occupied Units

Household Composition Model

How likely is it that the household composition of the Garcia family matches the Census?

- Household composition categories
- 0: Vacant/Delete (i.e., not occupied)
- 1: 1 adult, 0 children
- 2: 1 adult, 1+ children
- 3: 2 adults, 0 children
- 4: 2 adults, 1+ children
- 5: 3 adults, 0 children
- 6: 3 adults, 1+ children
- 7: Other
- Use 2010-vintage data
- 50 million NRFU addresses.
- Use 2010-vintage administrative records for those addresses





Administrative Records Research and Planning Identifying Administrative Records Occupied Units

Household Composition Model

How likely is it that the household composition of the Garcia family matches the Census?

- Independent variables
 - AR household composition
 - Properties of the address: AR count, UAA, etc.
 - Indicators for presence of AR sources at address
 - Indicators for presence of AR sources at other addresses
 - ACS area-level estimates: % renters, % poverty, % Black, etc.
- Given that we have 2 adults with 1+ children based on the Garcia family from administrative record, what is the probability that the Census would have enumerated 2 adults with 1+ children at the address?
- For our example, the Garcia family receives a .8 probability.





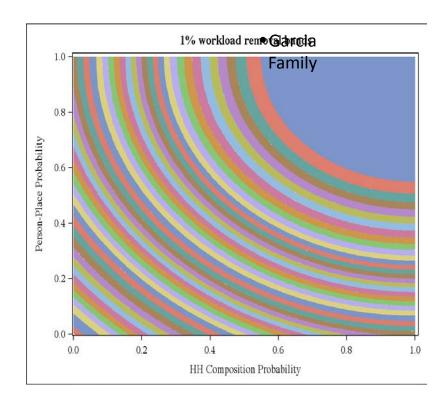
Identifying Administrative Records Occupied Units

Distance Function

The distance function can be visualized as successive bands of cases emanating from the point (1,1) in the top right corner

Each successive band represents an additional 1 percent of the NRFU workload

In this example, the Garcia family is in our top 1 percentile of administrative record rosters.







Administrative Records Research and Planning Characteristics

Administrative Records Source Possibilities Age and Sex

Census Numident

Race and Hispanic Origin

- Prior Census, American Community Survey, or other Census Bureau responses
- Country of Origin (Census Numident)
- Census Bureau Best Race and Hispanic Origin file

Relationship to Householder

Census Bureau KIDLINK file

Tenure

- Housing and Urban Development
- Tax and Deed Information

Detailed Vacancy

Housing and Urban Development





Results: 2016 Census Test Analysis





Administrative Records Research and Planning 2016 Census Test

Administrative record determination

- Occupied
- Vacant
- Delete

1-in-5 sample of administrative record cases received <u>full fieldwork</u>

- Compare our determinations to fieldwork results
- No address canvassing done before this test





2016 Census Test Results

1-in-5 Sample Evaluation Analysis

| | Total | Occup | ied | Vacant | | Delete | | Unresolve | d |
|---------------|-------|-------|-----|--------|-----|--------|-----|-----------|-----|
| | N | % | SE | % | SE | % | SE | % | SE |
| AR Vacant | | | | | | | | | |
| Total | 715 | 21.1 | 1.3 | 42.8 | 1.6 | 20.7 | 1.2 | 15.4 | 1.2 |
| LA County | 236 | 19.9 | 2.3 | 43.2 | 2.9 | 22.5 | 2.3 | 14.4 | 2.1 |
| Harris County | 479 | 21.7 | 1.6 | 42.6 | 2.0 | 19.8 | 1.5 | 15.9 | 1.4 |
| AR Delete | | | | | | | | | |
| Total | 313 | 29.1 | 2.1 | 10.9 | 1.4 | 48.6 | 2.2 | 11.5 | 1.7 |
| LA County | 172 | 24.4 | 2.9 | 7.6 | 1.9 | 57.0 | 3.3 | 11.0 | 2.2 |
| Harris County | 141 | 34.8 | 3.2 | 14.9 | 2.1 | 38.3 | 2.7 | 12.1 | 2.5 |





2016 Census Test Results

USPS UAA Reasons for AR Vacant and AR Delete Units Determined Fieldwork Occupied

UAA Reasons for AR Vacant/Fieldwork Occupied Units

| Reasons | Number | Percent |
|------------------------|--------|---------|
| Vacant in both | 86 | 57.0% |
| Vacant in one | 29 | 19.2% |
| Any other reason twice | 25 | 16.6% |
| Any other reason once | 11 | 7.3% |
| Total | 151 | 100.0% |

UAA Reasons for AR Delete/Fieldwork Occupied Units

| Reasons | Number | Percent |
|------------------------|--------|---------|
| No Such Number in both | 26 | 28.6% |
| No Such Number in one | 29 | 31.9% |
| Any other reason twice | 27 | 30.8% |
| Any other reason once | 9 | 9.9% |
| Total | 91 | 100.0% |





Mailing for Administrative Record Cases

| | AR Occupied (Pl | AR Occupied (Phase 1) | | nt | AR Delete | |
|------------------------|-----------------|-----------------------|-------|------|-----------|------|
| | Count | % | Count | % | Count | % |
| | | | | | | |
| Total | 9,353 | | 2,856 | | 1,252 | |
| AR Mailing Sent | 8,418 | | 2,848 | | 1,252 | |
| UAA on AR Mailing Sent | 125 | 1.5 | 1,631 | 57.3 | 944 | 75.4 |

For 6 weeks after Census Day

AR Occupied were UAA 1.5 percent of the time. People could have moved out since Census Day.

AR Vacant were UAA 57.3 percent of the time. People could have moved in since Census Day.

AR Delete were only UAA 75.4 percent of the time. Seems less likely to change from not a housing unit to a housing unit.





Results: 2010 Simulations Analysis





Administrative Records Determination by Percent Hispanic Population in Block Group

| | | AR Determination (row percent) | | | | |
|---|----------------------|--------------------------------|-------------|---------------|--|--|
| ACS 5-Year Estimate of Percent of Block | 2010 NRFU | AR Vacant | AR Occupied | Full Contacts | | |
| Group that is Hispanic | Addresses (millions) | (%) | (%) | (%) | | |
| 0 to 10 percent | 31.3 | 11.6 | 15.9 | 72.5 | | |
| 10 to 20 percent | 6.8 | 9.4 | 15.7 | 74.9 | | |
| 20 to 30 percent | 3.6 | 8.5 | 14.4 | 77.1 | | |
| 30 to 40 percent | 2.2 | 7.6 | 13.3 | 79.1 | | |
| 40 to 50 percent | 1.6 | 7.5 | 12.0 | 80.5 | | |
| 50+ percent | 4.2 | 4.3 | 9.6 | 86.1 | | |
| Total | 49.8 | 10.1 | 15.0 | 74.9 | | |





Administrative Records Determination by Percent Non-Hispanic Black Population in Block Group

| | 2010 NRFU | AR Deter | rmination (row perce | nt) |
|---|------------|-----------|----------------------|---------------|
| ACS 5-Year Estimate of Percent of Block Group | Addresses | AR Vacant | AR Occupied | Full Contacts |
| that is Non-Hispanic Black | (millions) | (%) | (%) | (%) |
| 0 to 10 percent | 33.6 | 10.5 | 16.0 | 73.5 |
| 10 to 20 percent | 5.4 | 8.2 | 15.6 | 76.2 |
| 20 to 30 percent | 2.9 | 8.1 | 14.1 | 77.8 |
| 30 to 40 percent | 1.8 | 8.3 | 13.0 | 78.7 |
| 40 to 50 percent | 1.2 | 8.9 | 11.9 | 79.2 |
| 50+ percent | 4.9 | 12.1 | 9.6 | 78.3 |
| Total | 49.8 | 10.1 | 15.0 | 74.9 |



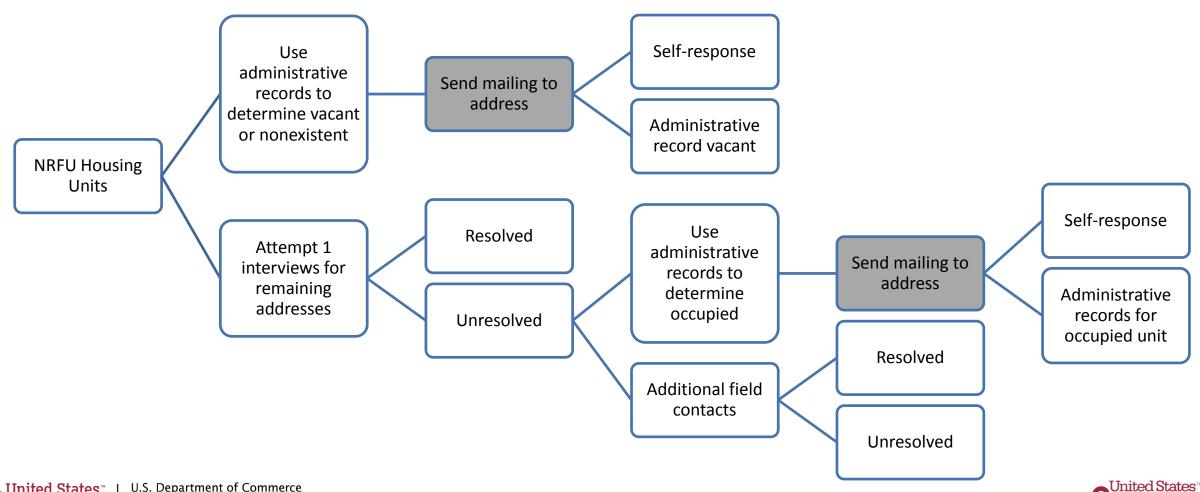


Administrative Records Research and Planning Changes to 2018 Contact Strategy



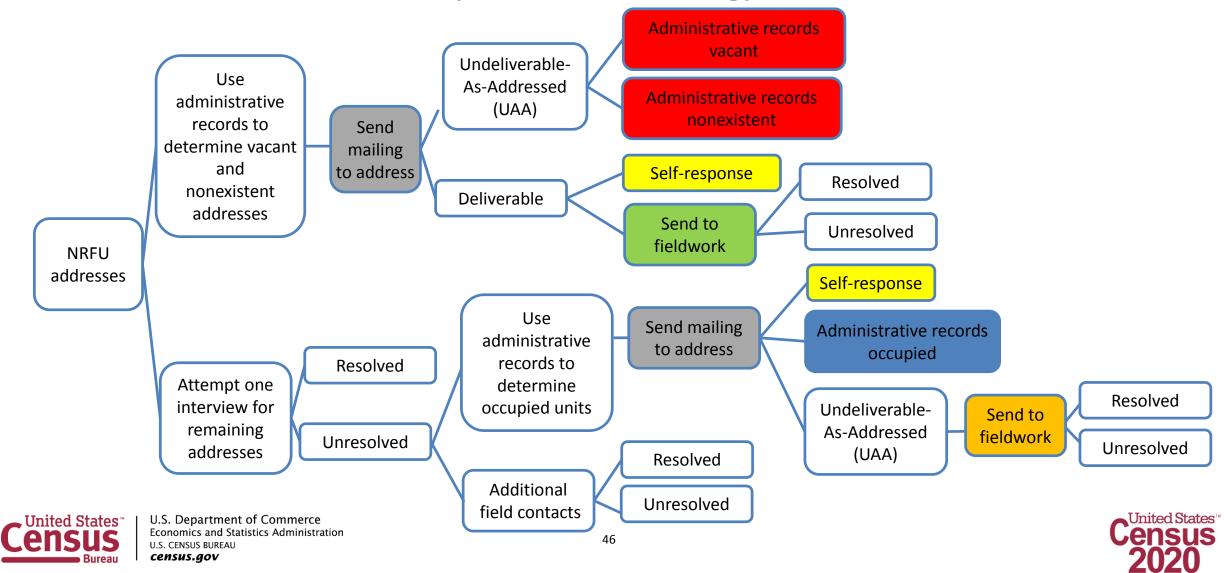


2016 Contact Strategy





2018 End-to-End Census Test Proposed Contact Strategy



2018 End-to-End Census Test: Nonresponse Followup

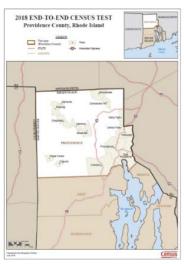




Overview

- April 1, 2018 Census Day
- Site test in Pierce County, Washington; Providence County, Rhode Island; and, Bluefield-Beckley-Oak Hill, West Virginia







Goals:

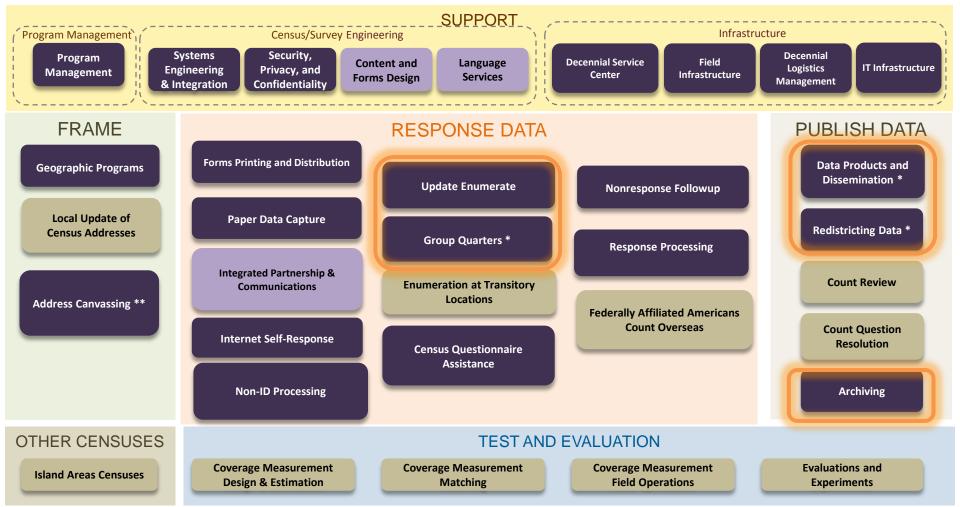
- Validate that the operations in scope for the 2018 End-to-End Census Test are ready to go into production for the 2020 Census
- Test and validate major operational threads, procedures, systems, and infrastructure (IT and non-IT) together to ensure proper integration and conformance with functional and nonfunctional requirements
- Produce a prototype of geographic and data products





Operational Scope





- (*) Not in scope for test sites descoped from 2017 Census Test and 2017 Puerto Rico Census Test that may be added to the 2018 End-to-End Census Test.
- (**) For test sites descoped from 2017 Census Test and 2017 Puerto Rico Census Test that may be added to the 2018 End-to-End Census Test, only In-Office Address Canvassing is in scope.



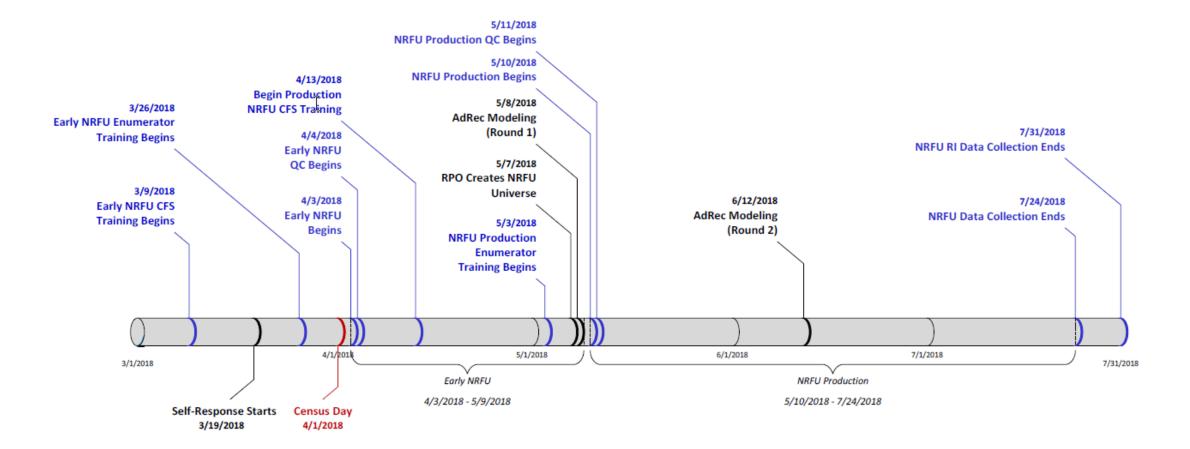


Key Activities

| Activity | Date | |
|--|-------------------------------------|---|
| Conduct In-Office Address Canvassing | October 27, 2016 – February 2, 2017 | ✓ |
| Open Regional Census Centers | January 3, 2017 | ✓ |
| Begin Address Canvassing Field Staff Recruitment | March 10, 2017 | ✓ |
| Open Area Census Offices | March 31, 2017* | |
| Conduct In-Field Address Canvassing | August 14 – September 29, 2017 | |
| Conduct Group Quarters Advance Contact | January 5 – March 9, 2018 | |
| Conduct Self-Response, Including Non-ID Processing | March 19 – July 31, 2018 | |
| Conduct Group Quarters Enumeration | March 28 – June 12, 2018 | |
| Census Day | April 1, 2018 | |
| Conduct Early Nonresponse Followup | April 3 – May 9, 2018 | |
| Conduct Nonresponse Followup Reinterview | April 4 – July 31, 2018 | |
| Conduct Update Enumerate | April 16 – June 16, 2018 | |
| Conduct Nonresponse Followup | May 10 – July 24, 2018 | |
| Publish Prototype P.L. 94-171 Data and Geographic Products | April 1, 2019 | |



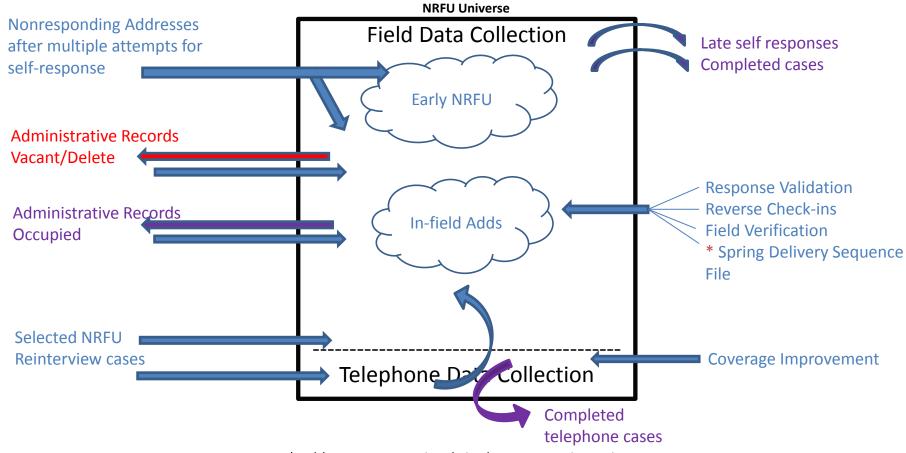
Nonresponse Followup – Field Data Collection Timeline

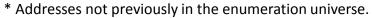






Nonresponse Followup Universe

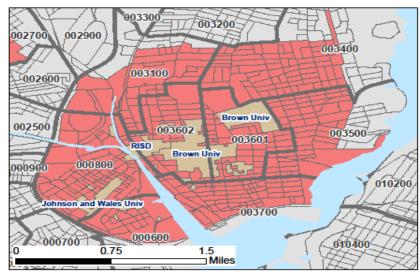


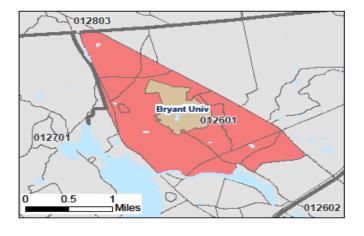


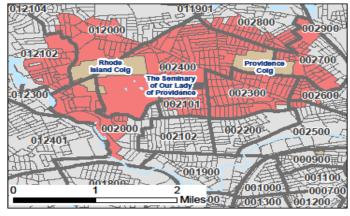




Early Nonresponse Followup







Providence, RI Early-NRFU









Nonresponse Followup – Enumerator Training

- Moving from 2010, using a 100% cascaded, verbatim, and all-classroom style training, to a blended learning approach of online and classroom training
- Online training benefits include: self-paced training, a truly standardized delivery approach, use of an interactive training approach, and easier ability to incorporate edits into training
- Moving from 100% classroom based verbatim-style training to self-paced online and facilitated classroom instruction, augmented with hands-on practice using the devices (e.g. smartphones, laptops, tablets)
- Online training use of scenario-based videos, demonstrations, and simulations of how the device operates
- Automated knowledge and skills assessment vs a hand-scored and corrected paper test
- Use of electronic manuals and job aids to support field staff's on-the-job performance. Encourages use of the manual due to searchability of content





Nonresponse Followup – Field Data Collection Contact Strategy

- Administrative Records modeling determines initial contact strategies
 - Vacant/Delete: Removed from nonresponse followup workload
 - Occupied: Receives one contact attempt
 - No Determination: Receives maximum of 6 contact attempts
- Nonresponding addresses become proxy eligible when:
 - Third contact attempt at census address is unsuccessful
 - Enumerator observes a unit is vacant or not a housing unit
 - Supervisor determines a case should be proxy eligible or proxy required
 - Maximum daily limit of three proxy attempts
- Contact strategies for multiunits
 - Interview building manager to remove vacant units from nonresponse followup workload
 - Same enumerator assigned manager interviews for all multiunits in a Basic Collection Unit
- Non-ID Field Verification cases receive one attempt
- Self-responding vacant cases receive one attempt
- Response Validation Re-collect cases receive maximum of three contact attempts





Nonresponse Followup – Multiunit Manager Visits

Purpose: Resolution of occupancy status for units at multiunit structures via an interview with a 'manager'

Process:

- Grouping of nonresponding addresses within a multiunit
- Enumerator attempts contact with 'manager'
- Addresses by 'manager' as vacant or nonexistent are designated as such and are not subject to additional contact attempts
- Addresses identified by manager as 'occupied' or left undetermined will be assigned for contact attempts by an enumerator to collect response data

2016 Census Test Findings:

 Manager visits successfully identified the occupancy status of nonresponding units, thus reducing enumerator attempts to resolve vacant units

2018 End-to-End Census Test:

- Increase in the number of units at a Basic Street Address that triggers a eligibility for a manager visit
- Maximum of two manager visit attempts before assigning nonresponding units for enumeration attempts





Nonresponse Followup – Field Verification

Purpose: To verify the existence of addresses resulting from Non-ID self-responses that could be assigned to a Census Basic Collection Unit (BCU) or block and identified as new to the Master Address File

Process:

- Non ID self-response received
- Address completes Non ID processing including automated matching and/or clerical processing
- Identify "new" geocoded MAF addresses for field work Field Verification (FV) workload
- EV cases added to the NRFU workload
- Enumerator attempts to locate the FV address and capture status
- No capture of response data
- No use of Notice of Visit correspondence





Nonresponse Followup – Proxy Enumeration

Proxy Enumeration: Occurs when attempts to contact a household member to determine/occupancy status and complete a census enumeration are unsuccessful and when a person with sufficient knowledge is identified to provide the census enumeration

Proxy Eligibility:

- Cases become proxy eligible on the third unsuccessful attempt
- In-moves become immediately proxy eligible
- Vacant by observation, nonresidential, address does not exist, etc., are immediately proxy eligible

2016 Census Test Findings:

- Challenges acquiring proxy responses
- Additional enumerator training to emphasize the importance of proxies and proxy procedures
- Contributing factor to the unresolved rate

2018 End-to-End Census Test:

- Enhanced training on proxy concepts
- Modifications to enumeration application to capture occupancy status and housing unit population (in the case of occupied units) early in the proxy interview





Nonresponse Followup – Quality Control

- Quality is infused throughout the operation
 - Automated edits within the application
 - Operational Control System Alerts
- Quality Control Reinterview
 - All cases reviewed by SMaRCS for indications of falsification using Administrative Records and paradata
 - Suspicious cases sent for telephone reinterview (via CQA); then case is sent to the field if necessary
 - SMaRCS will select up to a 10 percent sample for reinterview
 - One staff conducts field data collection for both NRFU production and NRFU Reinterview





Nonresponse Followup – Operational Control System Enhancements

Additional Operational Control System Alerts

| Alert Name | Description |
|-------------------------------|---|
| Proxy Attempts | When two or more cases were proxy eligible but the employee did not enter any proxy attempts into the enumeration application device. |
| Reopening Cases | When an employee opens two or more inactive cases but did not complete them. |
| Working Before Assigned Hours | When an employee attempts a case more than 30 minutes before their assigned start time. |
| Unconfirmed Delete | When an employee has two or more deletes that are not confirmed for UE FU and NRFU assignments. |
| High Field Resolution Rate | When an employee has a high field resolution rate. |
| Low Cases per Hour | An employee has worked a low number of cases/hour compared to peer (where peers are defined as other employees working in a similar geographic area). |
| High Cases per Hour | An employee has worked a high number of cases / hour compared to peers. |

Enumerator Training





Questions?





Break





2020 Census Operations

Deborah Stempowski

Decennial Census Management Division

Brian Timko

Geography Division

Jennifer Kim

Decennial Census Management Division

Greg Hanks

Geography Division





Brian TimkoGeography Division





Background:

- Authorized by the Census Address List Improvement Act of 1994 (Public Law 103-430)
- Implemented in the support of the 2000 Census and refined to support the 2010 Census
- Provides a voluntary opportunity for designated representatives of tribal, state and local governments to review and comment on the addresses used to conduct the decennial census

What's New for 2020 LUCA:

- Offers streamlined participation Full Address List Review
- Provides the digital address list in convenient standard software formats
- **Includes** ungeocoded address records for state and county participants
- **Requires** residential multiunit structure identifiers (e.g., Apt 1, Unit A2, #3001, etc.)
- **Includes** residential structure coordinates, if available
- Allows participants to submit residential structure coordinates, if available
- Allows participants to submit non-city style addresses with corresponding map spot





Where are we Now?

- ✓ Completed the Advance Notice mailing
- Opened the LUCA Geographic Programs Support Desk
- ✓ Posted address block count lists on the LUCA website
- Preparing to create the LUCA materials and process the returns
- Refining processes to match LUCA records to MAF/TIGER System
- Developing training and user materials for partners





Address Count List

How Can I Review The Address Block Counts for My Entity?

The 2020 Census LUCA Address Block Count files are available for download from our FTP site. Please select American Indian Areas, a state, the District of Columbia or Puerto Rico from the menu below. For the states, DC and PR, after you select your state, click on the folder of your entity type (county, place, or mcd for Minor Civil Divisions) or use the Address Block Count List Locator file to determine which folder your entity's Address Block Count file is stored in. More information about opening and saving your file as a Microsoft Excel file. [PDF]



To view your Address Block Counts on a map, download the **block** layer <u>2016 TIGER/Line shapefiles</u> for your state and follow the instructions for <u>Joining Census Data to TIGER/Line Shapefiles</u> [PDF].

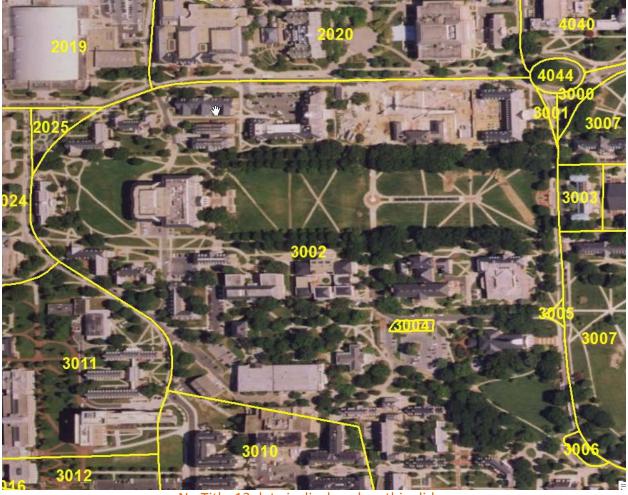
| State | County | Tract | BLOCK | BLOCK_GEOID | Total Residential | Total Group Quarters |
|-------|--------|---------|-------|-----------------|-------------------|-------------------------|
| 24 | 033 | 8072.00 | 1036 | 240338072001036 | 17 | 0 |
| 24 | 033 | 8072.00 | 3001 | 240338072003001 | 0 | 0 |
| 24 | 033 | 8072.00 | 3002 | 240338072003002 | 0 | 18 |
| 24 | 033 | 8074.05 | 3046 | 240338074053046 | 0 | 0 |
| 24 | 033 | 8074.05 | 3047 | 240338074053047 | 6 | 0 |
| | | | | TOTAL | 343,337 | 450 |

https://www.census.gov/geo/partnerships/luca.html





Shapefiles/TIGERweb









Geocoder Tool

- Current tool can process as many as 10,000 addresses at a time
- Alternate path for partners submitting more than 10,000 addresses at a time

| Input Address | Match | Match Type | Lat/Long | Tiger/Line ID | Side | State | County | Tract | Block |
|--------------------------------|-------|------------|---------------------|---------------|------|-------|--------|--------|-------|
| 101 Marietta Street NW Suite | | | | | | | | | |
| 3200, Atlanta, GA, 30303-2700 | Match | Exact | -84.39224,33.756588 | 17344104 | R | 13 | 121 | 11900 | 2015 |
| 101 Marietta Street, Suite | | Non_Exact | | | | | | | |
| 3200, Atlanta, GA, 30303-2700 | Match | | -84.39224,33.756588 | 17344104 | R | 13 | 121 | 11900 | 2015 |
| 1111 W. 22nd Street Suite 400, | | | | | | | | | |
| Oak Brook, IL, 60523-1918 | Match | Exact | -87.94587,41.84698 | 112548696 | L | 17 | 043 | 844601 | 1072 |

No Title-13 data is displayed on this slide.





Non-City Style Addresses

Acceptable with map spots:

- Location Description
- Rural Route
- Highway Contractor Route

Not Acceptable:

Post Office Boxes



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Schedule

| Activity | Date | |
|--|---------------------------|---|
| Advance notice mailed to Highest Elected Officials, Tribal Chairs, Governors, and other LUCA contacts | January 2017 | ✓ |
| LUCA promotional workshops begin | March 2017 | ✓ |
| LUCA invitation and registration materials mailed to Highest Elected Officials, Tribal Chairs, and Governors | July 2017 | |
| LUCA training workshops begin | October 2017 | |
| Participants review and update the Census Bureau's address list | February – April 2018 | |
| Process LUCA submissions | February – September 2018 | |





Questions?





Jennifer Kim

Decennial Census Management Division





Overview

- Identify ways to reduce language barriers for respondents of Limited English Proficiency (LEP)
- Determine the optimal level of support in non-English languages for LEP households completing the 2020 Census
- Optimize the non-English content of questionnaires and associated non-questionnaire materials across data collection modes and operations
- Ensure cultural relevancy and meaningful translation of 2020 Census questionnaires and associated nonquestionnaire materials





Mid-Decade Research on Language/Translation Services

Research and Testing

- Establishment National Advisory Committee Working Group on Language and feedback on non-English operations/materials
- Monitoring of language trends at the national, regional, and state levels
- Deployment of language services: 2014, 2015, 2016, 2017 Census Tests, 2015 National Content Test, 2018 Endto-End Census Test
- Ongoing cognitive/usability testing of non-English instruments and materials

Consultations

- Consultations with federal agencies and their translation/interpretation staff
- Participation in meetings with leading industry professionals
- Review of resources provided by other federal agencies





Expansion of Translation Effort and Multilingual Research

Creation of a Translation Branch

- In-House Translation, Project/Contract Management, Terminology/Translation Research
- Adhere to translation practices as described in ASTM F2575 Standard Guide for Quality Assurance in Translation
- Follow professional standards for terminology research and documentation

Multilingual Research

- Usability and cognitive testing of non-English data collection instruments and materials
- Feedback from respondents on translated wording
- Respondents of diverse education levels, household compositions, demography, geography, level of English proficiency





Translation Memory (TM)

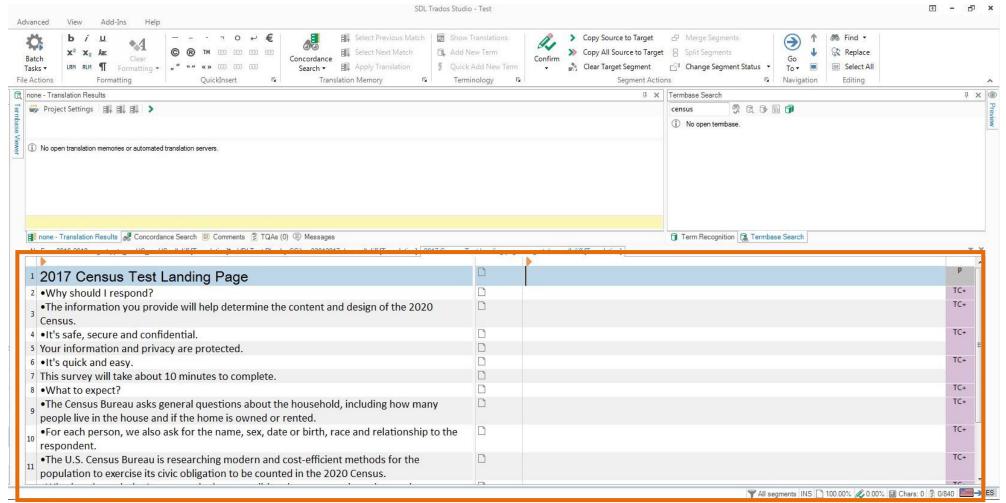
Computer program that helps translators compare new text to previously translated text to increase their consistency, quality, and efficiency

- Automatically searches all previously translated text and provides matches to translators
- Increases style and terminology standardization
- Saves translators time researching previous translations and facilitate version control
- Not to be confused with online machine translation engines





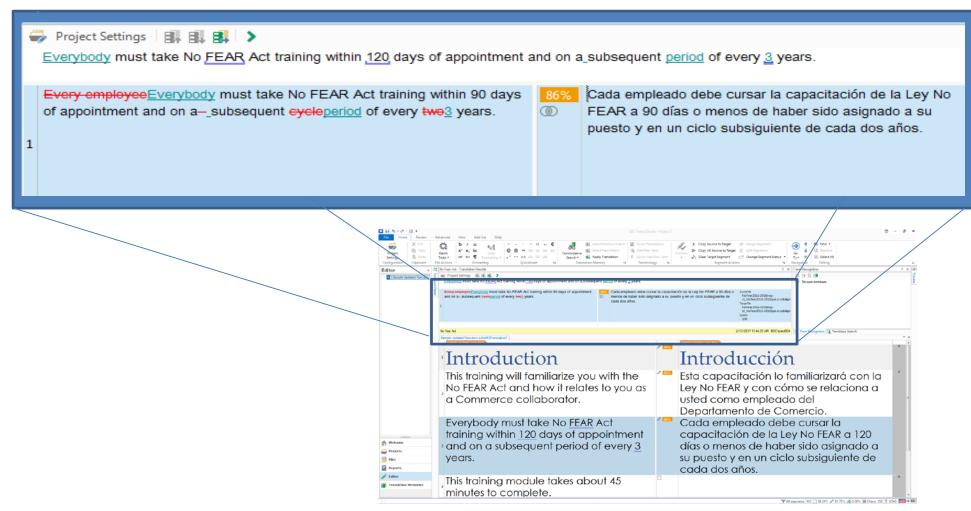
TM: Translation (Example)







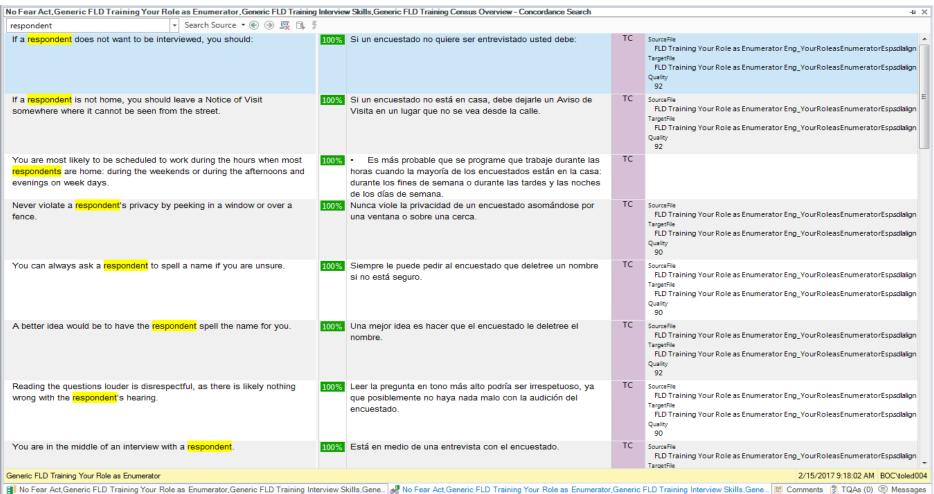
TM: Translation Revisions (Example)







TM: Search for Terminology (Example)

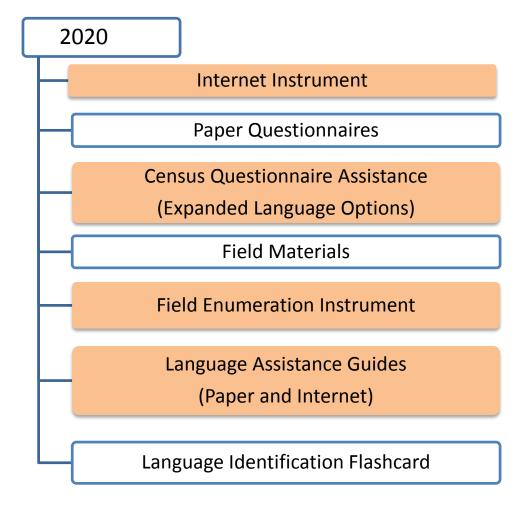






Then and Now: Planned Changes for 2020

2010 **Paper Questionnaires** Telephone Questionnaire Assistance (Limited Language Options) Field Materials Language Assistance Guides (Paper) "It's Easy" Videos (via Comcast) Language Identification Flashcard







Internet Self-Response: Non-English Response Options







Example: 2016 Census Test non-English internet questionnaire





Census Questionnaire Assistance

- Conduct telephone interviews in non-English languages
- Provide general information and answers to questions about the 2020 Census and instructions on completing the the questionnaires
- Available in the same number of languages as the Internet instrument



For help completing your 2017 Census Test questionnaire, call toll-free 1–844–330–2020.

Para recibir ayuda para completar su cuestionario de la Prueba del Censo del 2017, llame sin costo al número 1-844-468-2020.

如果您需要协助填写2017年人口普查测试问卷,请拨打免费电话 1-844-391-2020 (普通话),1-844-398-2020 (粤语)。

2017년 인구 센서스 시범 조사에 도움이 필요하시면, 무료 번호 1-844-392-2020으로 전화해 주십시오.

Để được trợ giúp hoàn thành phiếu câu hỏi Điều tra Dân số Thử nghiệm năm 2017 của quý vị, hãy gọi đến đường dây miễn phí theo số 1-844-461-2020.

За помощь в заполнении вашей анкеты тестирования переписи 2017 года, позвоните бесплатно на 1-844-417-2020.

للحصول على مساعدة في إكمال استقصاء الإحصاء التجريبي لعام 2017، اتصل برقم الهاتف المجاني2020-414-84.

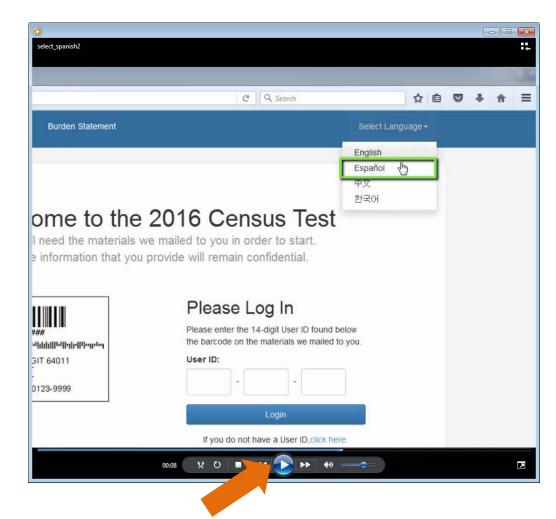






Video Language Assistance Guides

- Assist non-English speaking respondents on filling out the Internet questionnaire
- Examples of video content:
 - What are the questions and why
 - Why participation in important
 - How to select the language
 - How to log in
 - How to navigate
 - How to submit
- Available via the internet
- Also used during partnership and communications events









Paper Language Assistance Guides

- Assist non-English speaking respondents who prefer the paper questionnaires or do not have easy access to the Internet
- Show English questionnaire
 - Highlight English text
 - Provide translated text with highlighted English text
- Printed copies available for partnership and communications





Reference

Issued

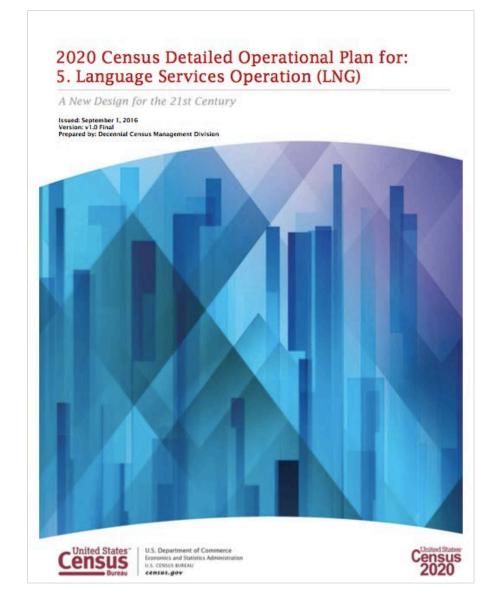
2020 Census Language Services Detailed Operational Plan

- Purpose and processes for language services operation
- Available online

Forthcoming

2020 Census Language Support Plan

- Final list of languages to support the 2020 Census
- Level of support for each language
- To be issued later this year







Questions?





Partnership with United States Postal Service

Greg HanksGeography Division





Overview

- 1. The Partnership
- 2. Ongoing Activities
- 3. 2018 End-to-End Census Test Activities





Collaborative Value in a USPS-Census Bureau Partnership

The Census Bureau and the United States Postal Service (USPS) partnership incorporates focus areas from each agency to ensure that collaborative value is achieved.

USPS FOCUS AREAS

- Delivering world class customer experience
- Equip, empower, and engage employees
- Innovate faster to deliver value
- Invest in future platforms



- Re-engineering Address Canvassing
- Optimizing Self-Response
- Utilizing Administrative Records and Third-Party Data
- Re-engineering Field Operations

COLLABORATIVE VALUE

- Focus on collaboration in workforce services, mailing and delivery services, and data products and services:
 - Partnership on mailing allows USPS to deliver world class customer experience; helps the Census Bureau re-engineer field operations around UAAs.
 - Partnership on enumeration presents cost savings for the Census Bureau and a potential opportunity for USPS.
 - Partnership on data products and services enables USPS to innovate faster to deliver value and assists the Census Bureau in optimizing self- response.









Partnership Background

The Census Bureau and the USPS have an active and longstanding partnership that is expanding to encompass

enterprise objectives.

INTRODUCTION





The Census Bureau and the USPS are researching and testing new ways to enhance and leverage a mutually beneficial partnership in four focus areas: Coordination, Workforce Services, Mailing and Delivery Services, and Data Products and Services.

EXECUTIVE BRAINSTORMING SESSION

Six interagency Working Groups

were created to address high

priority action items identified by

senior executive staff. These co-

chaired working groups include

members representing diverse

areas within the Census Bureau,

as well as counterparts from USPS.



CURRENT STATUS



MOVING FORWARD



The interagency Working Groups convened on March 22nd, where they identified and finalized objectives, priorities, and deadlines for key activities to take place over the next few months.



The Census Bureau and USPS are in the process of documenting current and future partnership efforts. This documentation will ultimately serve as the basis of a new, formal Memorandum of Understanding in support of the 2020 Census, and is supported by activity-specific Interagency Agreements.



Partnership Approach

USPS-Census Bureau areas of cooperation are organized into the categories included in the diagram below.



MAILING AND DELIVERY SERVICES: Continuously improving the current approach and processes for mailing services (e.g. Print contract verbiage, mail flow schedule, holiday mailer)



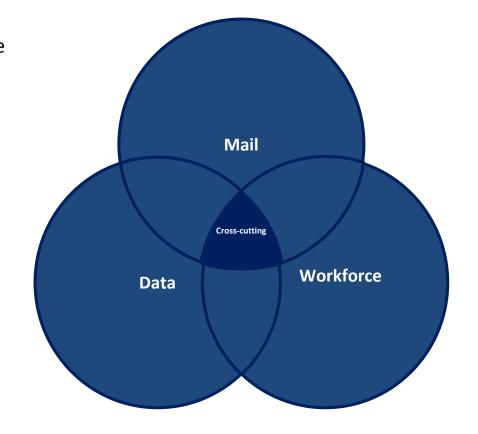
DATA PRODUCTS AND SERVICES: Data that the partnership utilizes and shares



WORKFORCE SERVICES: Exploring all possibilities for using shared labor to create innovative models and solutions by utilizing new resources



CROSS-CUTTING: Initiatives supporting the working group structure and the development of a long term governance model, mapping partnership processes, and capturing institutional knowledge







Ongoing Activities

USPS-Census Bureau are cooperating on ongoing activities in each of the enterprise areas.

MAIL

- Exploring the use of "Every Door Direct Mail" to deliver postcards announcing our recruiting campaign and Internet Response option
- Track disposition of paper questionnaires in the mail stream by using postal tracing

DATA

- Update the Master Address File with new addresses from the Delivery Sequence File
- Utilize the "Undeliverable as Addressed" data for the 2018 End-to-End Census Test to remove cases from Nonresponse Followup
- •Use of carrier route data to improve address geocoding in the Master Address File

WORKFORCE

- Collaborate on hiring temporary Census Bureau field workers for the 2018 End-to-End Census Test; utilizes established USPS process for Onboarding
- Pilot testing the use of Postal Carriers as Enumerators





2018 End-to-End Census Test Activities



Enumeration Pilot

A pilot would test the use of postal carriers as enumerators as part of Nonresponse Followup.



Community Engagement

A marketing and outreach campaign could place signage, flyers, and other materials in Post Offices to encourage response.



Interactive Kiosk

Interactive kiosks could be enabled in Post Offices to allow customers to fill out their Census questionnaire.



Informed Delivery

A subscription service where customers receive a preview of their mail via email; allows for interaction with some mail content via barcode or similar technology



Onboarding

Collecting application materials and fingerprints for temporary field staff.

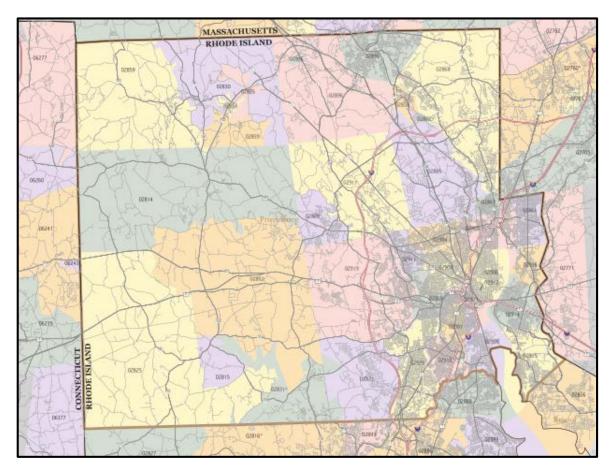




Feasibility Study: Use of Postal Carriers as Enumerators

Rationale: USPS maintains a permanent, well-trained, and experienced labor force that has gained public trust and detailed knowledge of the addressing and residential patterns in communities they serve.

Preliminary Goal: To test the feasibility and use of a small number of Postal Carriers as enumerators during NRFU in two ZIP Codes adjacent to – but outside of – the 2018 End-to-End Census Test site in Providence, RI.



Potential ZIP Codes for Pilot





Questions?





Atri Kalluri

Decennial Information Technology Division

Patricia McGuire Information Technology Directorate





Agenda

2017 Census Test Key IT Objectives Achieved

2017 Census Test Lessons Learned

Moving from 2017 to 2018

2018 End-to-End Census Test Readiness

CEDCaP Systems for 2018 End-to-End Census Test





2017 Census Test – Objectives Achieved

Deployment in the Cloud

Pega 7 platform based solutions

Data flow between Cloud and Census Data Center

Census Questionnaire Assistance

Production Environment for Administrative Records Staging Integration and Storage (PEARSIS)

Response Processing



2017 Census Test – Lessons Learned

Development and Test

 Thread testing alone may not be enough to assure quality products

Infrastructure

- Cloud has its own limitations
- Automate deployments

Data Integration

 More focus needed on data architecture and data integration

Other

 Be ready to react to the unexpected





Moving from 2017 to 2018

Role of the Technical Integrator:

- Requirements Management
- Business Architecture
- Detailed Solution Design
- Physical Architecture Planning and Design
- Mobile Integration
- Engineering and Integration
- Data Center Capability Implementation
- Verification and Validation
- Release and Deployment Management
- Disaster Recovery Design
- IT Security

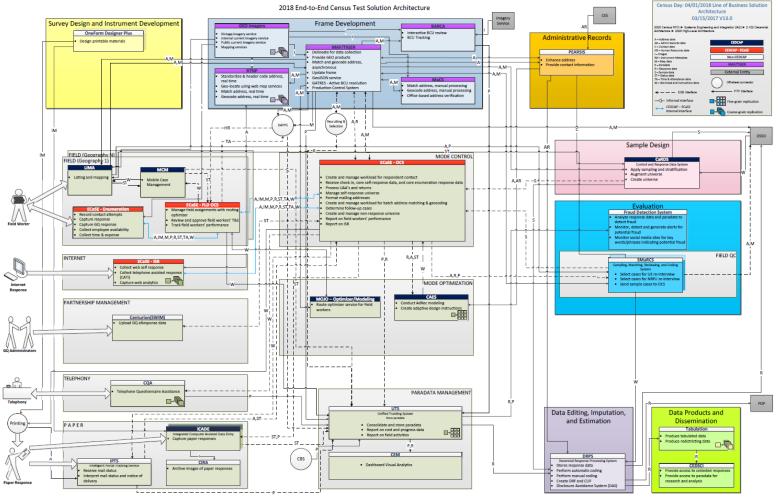
Added Advantage of:

- DevOps (Cloud based)
- Improvements based on independent assessment of systems
- Added resources for integration, scalability and performance testing
- External and Internal Demand Models
- Simulated Data
- Comprehensive infrastructure architecture combined with security
- Decennial focused data center





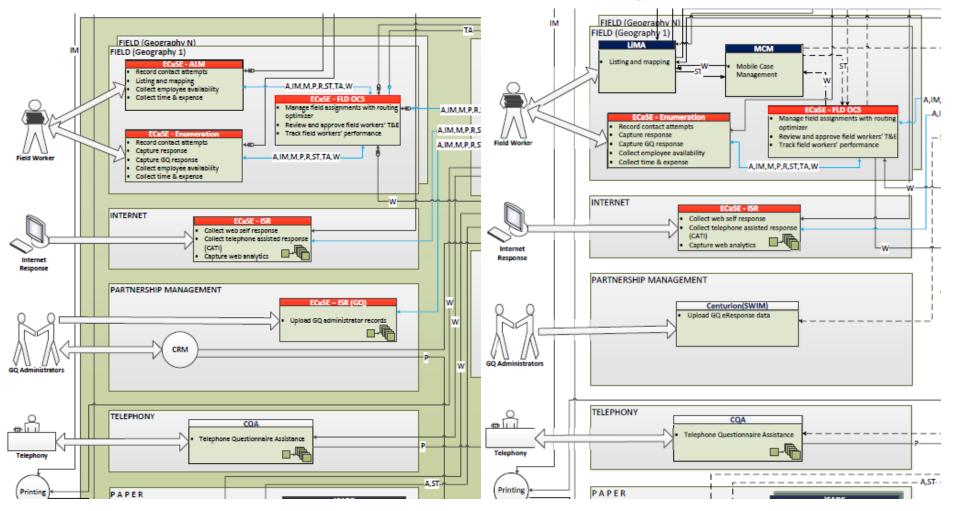
2018 End-To-End Census Test Solution Architecture







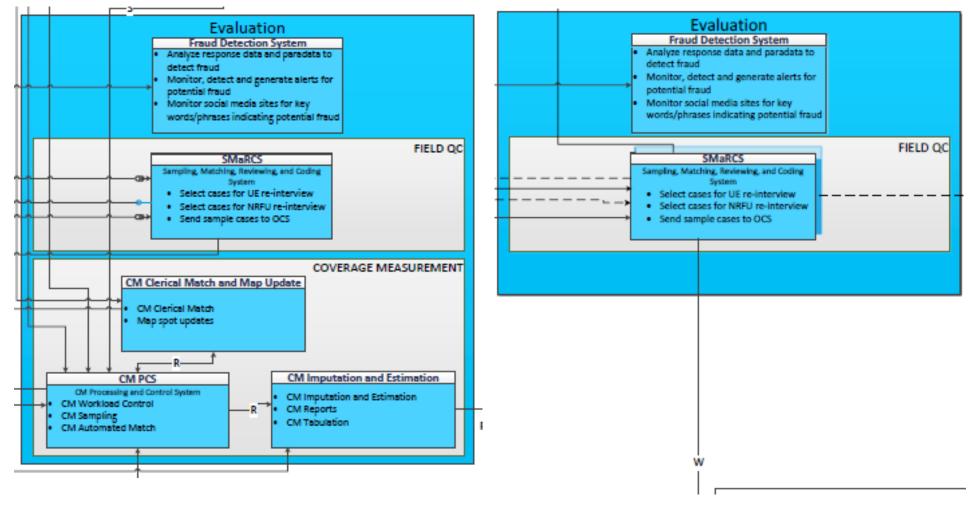
2018 End-To-End Census Test Solution Architecture Changes







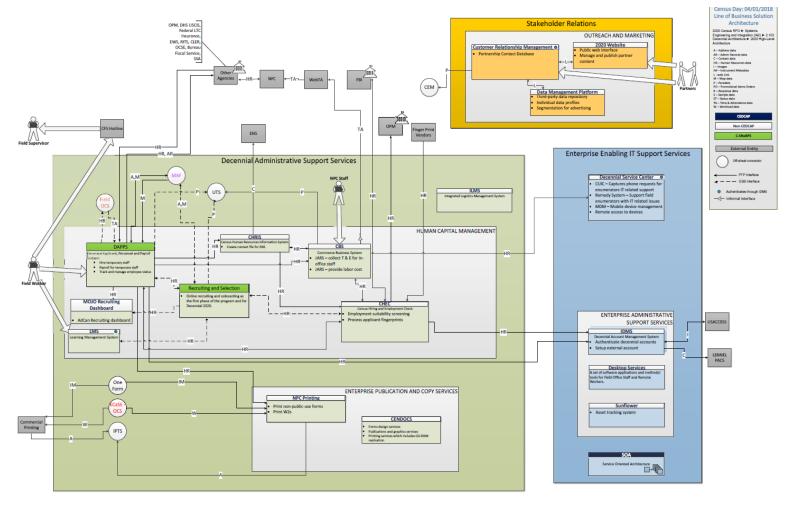
2018 End-To-End Census Test Solution Architecture Changes (continued)







2018 End-To-End Census Test Solution Architecture (continued)







2018 End-to-End Census Test Systems

| System | Key Efforts/Updates required for 2018/2020 |
|---|--|
| CEDSCI (Center for Enterprise Dissemination Services and Consumer Innovation) | Establish interfaces with 2018 End-to-End Census Test systems. Continue development and test of functionality. |
| CEM (Customer Experience Management) | Develop enhancements and deploy in support of the 2018 End-to-End Census Test IPC operation. Possible scalability updates are required to support 2020 Census. |
| CQA (Census Questionnaire Assistance) | Establish interfaces with 2018 End-to-End Census Test systems. Develop and deploy in support of the 2018 End-to-End Census Test Self-Response operation. Scalability updates are required to support 2020 Census. |
| C-SHaRPS (Census Schedule A Hiring, Recruiting and Payroll System) | Develop and deploy for 2018 End-to-End Census Test. C-SHaRPS contract awarded October 2016. |
| DAPPS (Decennial Applicant, Personnel and Payroll Systems) | Develop and deploy interface with C-SHaRPS for 2018 End-to-End Census Test. Enumeration Recruiting Release and Benefits implementation is complete. DAPPS is supporting the 2018 End-to-End Census Test Recruiting. |
| DRPS (Decennial Response Processing System) | Develop enhancements and deploy in support of response processing operation. Scalability updates are required to support 2020 Census. |





2018 End-to-End Census Test Systems (continued)

| System | Key Efforts/Updates required for 2018/2020 |
|--|--|
| IPTS (Intelligent Postal Tracking System) | Technical Integrator completed IPTS assessment and provided recommendations for 2018/2020 postal-tracking solution. Implement the solution to support 2018 End to End Census Test. |
| LMS (Learning Management System) | Leveraged existing C-SHaRPS BPA and acquired SaaS vendor solution in March 2017. |
| MAF/TIGER (Master Address File/Topologically Integrated Geographic Encoding and Referencing Database) | Develop and deploy collection geographies. Provide address and geospatial services for all operations. Initial geography for the 2018 End-to-End Census Test is deployed. |
| MOJO (Recruiting Dashboard) | As-Is system. Deployed for 2018 End-to-End Census Test recruiting effort. |
| PEARSIS (Production Environment for Administrative Records Staging, Integration and Storage) | Continue development, test and deploy in support of 2018 End-to-End Census Test. |
| RTNP (Real Time Non-ID Processing) | Verify cloud deployment in the production environment in support of the self-response operation. Scalability updates are required to support 2020 Census. |





2018 End-to-End Census Test Systems (continued)

| System | Key Efforts/Updates required for 2018/2020 |
|---|--|
| SMaRCS (Sampling, Matching, Reviewing, and Coding System) | Develop enhancements and deploy in support of the 2018 End-to-End Census Test. |
| Tabulation (Decennial Tabulation System) | Establish interface with 2018 End-to-End Census Test systems, develop and deploy enhancements required to support the 2018 End-to-End Census Test. Completed initial test using 2010 data/volumes. |
| UTS (Unified Tracking System) | Develop scalability enhancements and deploy in support of 2018 End-to-End Census Test. Approved data lake architecture. |
| Fraud Detection System | Develop, test, and deploy in support of the 2018 End-to-End Census Test. |





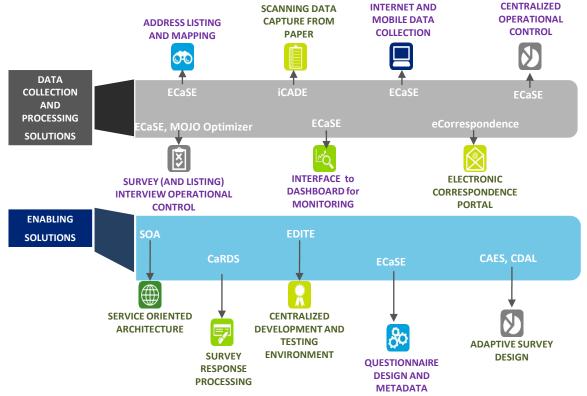
2018 End-To-End Census Test Support Systems

| System | Key Efforts/Updates required for 2018/2020 |
|--|---|
| CBS (Commercial Business System) | As-Is system, no functionality updates are required for its 2018/2020 baseline scope. Some updates may be required when interfacing systems (such as DAPPS) migrate to the cloud. |
| CENDOCS | As-Is system, no functionality updates are required for its 2018/2020 baseline scope. |
| (Census Document System) | |
| CHEC | Third party vendor interface needs to be implemented once the fingerprinting contract is awarded. |
| (Census Hiring and Employment Check System) | Scalability updates are required to support 2020 Census. |
| CHRIS | As-Is system, no functionality updates are required for 2018/2020 baseline scope. Some updates may be |
| (Census Human Resources Information System) | required when interfacing systems (such as DAPPS) migrate to the cloud. |
| CIRA | As-Is system, no functionality updates are required for its 2018/2020 baseline scope. Scalability updates |
| (Census Image Retrieval Application) | are required to support 2020 Census. |
| Commercial Printing | Award to a GSA vendor. Develop interface with 2018 End-to-End Census Test systems. |
| IDMS (Identity Management System) | As-Is system, no functionality updates are required for its 2018/2020 baseline scope. Scalability updates are required to support 2020 Census. Integrate with TI environment. |
| ILMS | Finalize baseline scope. Develop interface with 2018 End-to-End Census Test systems in support of IPC. |
| (Integrated Logistics Management System) | Possible scalability updates are required to support 2020. |
| NPC Printing | As-Is system, no functionality updates are required for its 2018/2020 baseline scope. |
| (Printing at the National Processing Center) | |
| Sunflower | As-Is system, no functionality updates are required for its 2018/2020 baseline scope. |



2020 Census Systems Readiness Census Enterprise Data Collection and Processing (CEDCaP) Capabilities and Solutions

CEDCaP is comprised of delivery of enterprise capabilities and the solutions to provide those capabilities.



Capabilities shown in purple will be provided by the Enterprise Censuses and Surveys Enabling (ECaSE) Platform



Census Enterprise Data Collection & Processing (CEDCaP)

| CEDCaP Data Collection & Processing Capabilities | | | | |
|---|--|--|--|--|
| and Solutions Delivered: | | | | |
| CEDCaP Capability | CEDCaP Solution | | | |
| 1) Centralized Development and | Enterprise Development, | | | |
| Testing Environment to CEDCaP | Integration, & Test Environment | | | |
| projects | (EDITE) | | | |
| 2) Service Oriented Architecture for CEDCaP projects (i.e., common infrastructure and efficient system interfaces to allow IT applications to communicate without the need for costly system re-writes) | Application Programming Interface (API) Infrastructure (API-I) | | | |
| Centralized Operational Analysis and Control and Adaptive Survey Design capability, including statistical modeling and administrative records | ECaSE Operational Control System Census–Data Access Layer (C-DAL) Concurrent Analysis and Estimation System (CAES) | | | |
| 4) Survey (and Listing) Interview Operational Control | ECaSE Operational Control System MOJO Optimizer | | | |
| 5) Address Listing and Mapping | ECaSE Address Listing Mapping | | | |
| 6) Interface to the Dashboard for Monitoring Survey Cost, Progress, and Quality and Enterprise Paradata Repository | ECaSE | | | |
| 7) Questionnaire Design and Metadata | ECaSE- Questionnaire Design Metadata | | | |
| 8) Internet and Mobile Data Collection | ECaSE Internet Self Response ECaSE – Enumeration | | | |
| 9) Electronic Correspondence Portal | eCorrespondence | | | |
| 10) Scanning Data Capture from Paper | Integrated Computer Assisted Data Entry (iCADE) | | | |
| 11) Survey Response Processing | CARDS (Pre-data collection, TBD (Post-data collection processing) | | | |

CEDCaP Systems

| CEDCaP System | Key Efforts/Updates required for 2018/2020 |
|--|---|
| CaRDS (Control and Response Data System) | Develop enhancements to functionality that supported the 2015 and 2016 Census Tests. In production for the 2017 Census Test. Developing enhancements to support the 2018 End-to-End Census Test. Scalability updates are required to support 2020. |
| iCADE (Integrated Computer Assisted Data Entry System) | Develop enhancements to legacy system that supported the 2015 and 2016 Census tests. In production for paper data capture for the 2017 Census test. Developing enhancements needed for the 2018 End-to-End Census Test. Scalability updates are required to support 2020. |
| SOA (Service Oriented Architecture) | Used to support the 2016 Census test. In production for the 2017 Census Test to support interfaces between ECaSE in the Cloud and those systems not in the Cloud. Will be used in the Technical Integrator environments for the 2018 End to End Census Test. |
| ECaSE (Enterprise Censuses and Surveys Enabling) | Internet Self-Response and Survey Operational Control functionality in productions for the 2017 Census Test. Developing enhancements for the 2018 End-to-End Census Test. Scalability updates are required to support 2020. Planning underway to address recommendation from May 2016 COTS Capability Assessment and Analysis decision – conduct performance testing comparison between PRIMUS (CEDCaP solution from the 2016 Census Test and ECaSE-ISR). Testing will be done by Technical Integrator using 2020 workload models and solutions developed to 2018 End to End Test requirements. |





CEDCaP Systems

| CEDCaP System | Key Efforts/Updates required for 2018/2020 |
|--|--|
| CAES (Concurrent Analysis and Estimation) (2018 End to End Test) | First time use in the 2018 End to End Census Test. Finalize 2020 Census models that will run on CAES and obtain an ATO in order to support the 2018 End-to-End Census Test. |
| MOJO (Route Optimizer) | Supported Census tests in 2015 and 2016 Census. Developing enhancements needed for the 2018 End-to-End Census Test to apply business rules needed to re-engineer field operations. Scalability updates are required to support 2020. |





Questions?





Wrap-up

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